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Practitioner's Docket No. 100806.01US1

## CHAPTER II

## IN THE UNITED STATES ELECTED OFFICE (EO/US)

|                      |                           |                         |
|----------------------|---------------------------|-------------------------|
| 10/018,113           | 15 June 2000 (15.06.00)   | 16 June 1999 (16.06.99) |
| U.S. Application No. | International Filing Date | Priority Date Claimed   |

Method of Modifying Peptide Synthetases such That They can N-Methylate their Substrates

Title of Invention

ActinoDrug Pharmaceuticals GmbH; Keller, Ullrich; Schauwecker, Florian

Applicant(s)

**Box PCT**  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**  
**ATTENTION: EO/US**

**COMPLETION OF FILING REQUIREMENTS  
FOR INTERNATIONAL APPLICATION ENTERING U.S. NATIONAL STAGE  
IN U.S. ELECTED OFFICE (EO/US) UNDER 35 U.S.C. § 371**

This replies to the Notice of Missing Requirements under 35 U.S.C. § 371 and 37 C.F.R. § 1.495 (FORM PCT/DO/EO/905).

A copy of FORM PCT/DO/EO/905 accompanies this response.

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**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\***  
**(When using Express Mail, the Express Mail label number is *mandatory*;  
*Express Mail certification is optional.*)**

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING**

deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington D.C. 20231  
37 C.F.R. § 1.8(a)

with sufficient postage as first class mail.

37 C.F.R. § 1.10\*  
 as "Express Mail Post Office to Addressee"  
Mailing Label No. EV062681771US

**TRANSMISSION**

facsimile transmitted to the Patent and Trademark Office, (703) \_\_\_\_\_

Date: 4/29/02



Erika Simpson

## DECLARATION OR OATH

**I.** No original declaration or oath was filed. Enclosed is the original declaration or oath for this application.

## FEES

**II.**

1. Fees for claims

|   |            |
|---|------------|
| Each independent claim in excess of 3<br>(37 C.F.R. section 1.492(b)) | \$0        |
| Each claim in excess of 20<br>(37 C.F.R. section 1.492(c))            | \$0        |
| <b>Total Fees</b>   | <b>\$0</b> |

## SMALL ENTITY STATUS

**III.** An assertion that this filing is by a small entity was made by paying the basic national fee as a small entity.

## EXTENSION OF TIME

**IV.** The proceedings herein are for a patent application. Accordingly, the provisions of 37 C.F.R. section 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

## TOTAL FEE DUE

**V.** The total fee due is:

|                   |         |
|-------------------|---------|
| Completion fee(s) | \$65.00 |
|-------------------|---------|

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**TOTAL FEE DUE \$65.00**

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**65.00 0P**

## PAYMENT OF FEES

**VI.**

Attached is a check in the amount of \$65.00.

A duplicate of this request is attached.

## AUTHORIZATION TO CHARGE ADDITIONAL FEES

VII. Please charge, in the manner authorized above, the following additional fees that may be required by this paper and during the entire pendency of this application:

- \* 37 C.F.R. § 1.492(a)(1), 1.492(a)(4) (filing fees)
- \* 37 C.F.R. § 1.492(b), (c), and (d) (presentation of extra claims)
- \* 37 C.F.R. § 1.17 (application processing fees)
- \* 37 C.F.R. § 1.17(a)(1)-(5) (extension fees pursuant to section 1.136(a))
- \* 37 C.F.R. § 1.492(e) and/or (f) (surcharge fees for filing the declaration and/or an English translation of an international application later than 30 months from the earliest-claimed priority date).

Date: 4/29/02



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## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

|  |                       |                  |
|--|-----------------------|------------------|
| U.S. APPLICATION NUMBER NO.  | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 10/018,113   | Ullrich Keller        | 806.01-UA1       |
| INTERNATIONAL APPLICATION NO.  |                       |                  |
| PCT/DE00/01950   |                       |                  |
| I.A. FILING DATE   | PRIORITY DATE         |                  |
| 06/15/2000   | 06/16/1999            |                  |
| CONFIRMATION NO. 1479  |                       |                  |
| 371 FORMALITIES LETTER   |                       |                  |
| <br>*OC000000007635061* |                       |                  |

Date Mailed: 03/19/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Request for Immediate Examination
- Small Entity Statement

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
- **APPLICANT MUST PROVIDE:**
  - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
  - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase PatentIn Software, call (703) 306-2600
  - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$65** for a Small Entity:

- **\$65** Late oath or declaration Surcharge.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

Telephone: (703) 305-3736

#### PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/018,113                  | PCT/DE00/01950                | 806.01-UA1       |